SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Miser James					2. Issuer Name and Ticker or Trading Symbol <u>LIXTE BIOTECHNOLOGY HOLDINGS, INC.</u> [LIXT]								k all applicab Director	,		(s) to Issuer 10% Ov Other (s	
(Last) (First) (Middle) 680 E. COLORADO BLVD.,					3. Date of Earliest Transaction (Month/Day/Year) 11/06/2022								below)			below)	speciny
SUITE 180					4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person				
(Street) PASADENA CA		91101													ne Reportin	g Person	
(City)	(State	e) (Zip)														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) Date (Month						2A. Deemed Execution Date, if any (Month/Day/Year		Transaction Dispose Code (Instr.		ities Acquired d Of (D) (Instr		5. Amount Securities Beneficiall Following	ly Owned or In Reported (Inst		vnership : Direct (D) lirect (I) : 4)	7. Nature of Indirect Beneficial Ownership	
								Code	v	Amount	mount (A) or P		Transactio (Instr. 3 an				(Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisab		xpiration ate	Title	Amount of Number of Shares		(Instr. 4)			
Stock Options ⁽¹⁾	\$2	11/06/2022		Α		200,000		11/06/202	2 1	1/06/2027	Common	200,000	\$ <u>0</u>	200,00	0	D	
Stock Options	\$7.14							08/01/202	0 0	8/01/2025	Common	83,334		83,334	4	D	

Explanation of Responses:

1. On November 6, 2022, the reporting person was granted options to purchase 200,000 shares of the issuer's common stock, exercisable for a period of 5 years at \$2.00 per share, vesting 25% on the issuance date, and 25% on each anniversary date thereafter until fully vested, subject to continued service.

/s/ James Miser

** Signature of Reporting Person

<u>11/08/2022</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.