SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
OMB Number: 3235-0104
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* Miser James			2. Date of Event Re Statement (Month/ 08/01/2020		3. Issuer Name and Ticker or Trading Symbol <u>LIXTE BIOTECHNOLOGY HOLDINGS, INC.</u> [LIXT]						
	E. COLORADO BOULEVARD, SUITE 180					tionship of Reporting Person(s all applicable) Director Officer (give title below)	, 10% Owner Other (speci below)	(5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) PASADENA (City)	CA (State)	91101 (Zip)				Chief Medical O	Incer		Form filed by Person	y More than One Reporting	
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					Beneficially Owned (Instr. 4)		3. Ownership4. IForm: Direct (D) or5)Indirect (I) (Instr. 5)		Nature of Indirect Beneficial Ownership (Instr.)		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities U Derivative Security (Instr. 4)		Underlying	4. Conversion	se (D) or	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price of Derivative Security	Indirect (I) (Instr. 5)		
Options to Purchase Common Stock			08/01/2020	08/01/2025	5	Common Stock	83,334	7.14	D		

Explanation of Responses:

Remarks:

On August 1, 2020, the Reporting Person was granted five-year options to purchase an aggregate of 83,334 (post-split) shares of the Company's Common Stock vesting 20,833 shares on the date of grant and 25% on the first anniversary of the grant date, 25% on the second anniversary of the grant date, and 25% on the third anniversary of the grant date until fully vested.

/s/ James Miser

** Signature of Reporting Person

<u>08/01/2020</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.