SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* FORMAN ERIC (Last) (First) (Middle) 680 E. COLORADO BLVD., SUITE 180 (Street) PASADENA CA 91101					2. Issuer Name and Ticker or Trading Symbol LIXTE BIOTECHNOLOGY HOLDINGS, INC. [LIXT] 3. Date of Earliest Transaction (Month/Day/Year) 11/06/2022 4. If Amendment, Date of Original Filed (Month/Day/Year)								(Check	6. Individual or Joint/Group Filing (Check Applicable Line)				
(City)																		
1. Title of Security (Instr. 3)				2. Transa Date	2. Transaction		2A. Deemed Execution Date, if any (Month/Day/Year)				rities Acquired (A) or ed Of (D) (Instr. 3, 4 ar		or	5. Amount Securities Beneficiall Following Transactio (Instr. 3 an	ly Owned or Reported (Inson(s)		mership : Direct (D) lirect (I) . 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security		3A. Deemed Execution Date if any (Month/Day/Ye	Code (Instr.		Derivative		6. Date Exercisable au Expiration Date (Month/Day/Year)			7. Title and Amour Securities Underly Derivative Security 3 and 4)		rlying	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisabl		xpiration ate	N		Amount or Number of Shares		Transaction(s (Instr. 4)		s)	
Stock Options ⁽¹⁾	\$2	11/06/2022		Α		200,000		11/06/2022	2 11	/06/2027	Com	mon	200,000	\$ <mark>0</mark>	200,00	00	D	
Warrants to Purchase Common Stock	\$5.7							11/30/2020) 11	/30/2025	Com	mon	5,264		5,264	4	D	
Stock Options	\$0.9							10/16/2017	7 10)/16/2022	Com	mon	16,667		16,66	7	D	
Stock Options	\$6.6							05/22/2019	9 05	5/22/2024	Com	mon	16,667		16,66	7	D	
Stock Options	\$7.14							08/12/2020	08	3/12/2025	Com	mon	58,333		58,33	3	D	

Explanation of Responses:

1. On November 6, 2022, the reporting person was granted options to purchase 200,000 shares of the issuer's common stock, exercisable for a period of 5 years at \$2.00 per share, vesting 25% on the issuance date, and 25% on each anniversary date thereafter until fully vested, subject to continued service.

<u>/s/ Eric Forman</u>

** Signature of Reporting Person

<u>11/09/2022</u> Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.